



Status February 2019

Data Protection Information for Shareholders of Henkel AG & Co. KGaA

General

Henkel AG & Co. KGaA, Düsseldorf (referred to as "Henkel" in the following) respects the private domain of every individual. We take the protection of data relating to our shareholders very seriously. The following information relates to the processing of your personal data by Henkel and the rights to which you as a shareholder are entitled under data protection law, and in particular under the EU General Data Protection Regulation (GDPR).

Who is responsible for data processing?

Henkel AG & Co. KGaA
Henkelstrasse 67
40589 Düsseldorf
Phone: +49 211 797-2137
Fax: +49 211 797-12137

You can contact Henkel's Data Protection Officer by post at the above address, adding "Data Protection Officer" below the company name, or by email at datenschutz@henkel.com.

For what purpose and on what legal basis is your data processed? Who do we get the data from?

We process your personal data in compliance with the EU General Data Protection Regulation (GDPR), the Federal Data Protection Act (BDSG), the German Stock Corporation Act (AktG) and all other relevant legal provisions.

All Henkel shares – ordinary and preferred – are bearer shares. Unlike in the case of registered shares, Henkel does not maintain a share register within the meaning of Section 67 of the German Stock Corporation Act; such a register would contain the name, date of birth and address of the shareholder and the number of shares held.

We use your personal data (such as name, address, number of shares, class of shares, type of ownership of shares, the number of your AGM admission ticket, the assignment of a proxy and the issue of instructions) to enable you as a shareholder to participate in – and exercise your rights at – the Annual General Meeting. The processing of your personal data is absolutely necessary for the preparation of and your participation in the AGM in accordance with Section 129 para. 1 sentence 2 AktG. The legal framework for the processing of your personal data is provided by the German Stock Corporation Act in conjunction with Article 6 para. 1 c) GDPR.

In addition, we may process your personal data to meet further legal obligations such as regulatory requirements and binding provisions under stock corporation, security trading, commercial and tax law. In order to comply with provisions under stock corporation law – for example, when authorizing the proxies nominated by Henkel for the Annual General Meeting – we must verifiably record the data that serves as proof of authorization and store this with access protection for three years (Section 134 para. 3 sentence 5 AktG). The legal framework for the processing of said data in this case is provided by relevant legal provisions in conjunction with Article 6 para. 1 c) GDPR.

With which categories of recipients might we share your data?

External service providers:

We make use of external service providers (AGM service providers, service providers for printing and mailing of statutory shareholder notifications) to prepare and conduct our Annual General Meetings.

Other recipients:

If you participate in the Annual General Meeting, other Henkel shareholders may view any personal data recorded in the list of participants in accordance with Section 129 para. 1 sentence 2 AktG. In addition, we may transfer your personal data to other recipients, such as to public authorities, in order to fulfill legal notification obligations (e.g. if the statutory voting right thresholds are exceeded).

The service providers of Henkel AG & Co. KGaA appointed for the purpose of preparing and conducting the Annual General Meeting receive from Henkel AG & Co. KGaA only such personal data as is necessary for the execution of the commissioned service, and process the data exclusively in accordance with instructions issued by Henkel AG & Co. KGaA.

How long do we store your data?

As a matter of principle, we will always render your personal data anonymous or delete it as soon as it is no longer required for the aforementioned purposes, unless we are obliged to keep it stored by other statutory retention and verification regulations (e.g. pursuant to the German Stock Corporation Act, German Commercial Code or German Fiscal Code). For data gathered in relation to Annual General Meetings, the retention period is generally up to 3 years. Beyond this, we will only retain personal data in individual cases if this is necessary in connection with claims asserted against our company.

What are your rights as a “data subject”?

You can request information about your personal data stored at the above address. Under certain circumstances, you may also request the deletion or correction of your data or impose a processing bar or restriction (e.g. if your data is being used unlawfully). You have a right to demand that your data be transferable.

Procedure for raising objections to how your data is used

If you wish to object to how your data is used, you can refer the matter to Henkel’s Data Protection Officer as indicated above. You also have a right of appeal to a Data Protection Supervisory Authority. The Data Protection Supervisory Authority responsible for Henkel is:

Landesbeauftragte für Datenschutz und Informationsfreiheit Nordrhein-Westfalen
Kavalleriestr. 2-4
40213 Düsseldorf
Phone: +49 211 38424-0
Fax: +49 211 38424-10
Email: poststelle@ldi.nrw.de

Further information regarding financial instruments issued by Henkel

Company:	Henkel AG & Co. KGaA
Address:	40191 Düsseldorf, Deutschland
Henkel Preferred Share:	ISIN DE0006048432 // WKN 604843
Henkel Ordinary Share:	ISIN DE0006048408 // WKN 604840
Listing:	Regulated market (Prime Standard), all eight German Stock Exchanges

For further financial instruments see www.henkel.com/ir